

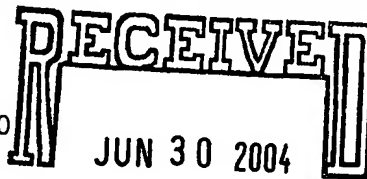
UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/821,343	04/09/2004	Robert Wayne Shearin	502609

23460
LEYDIG VOIT & MAYER, LTD
TWO PRUDENTIAL PLAZA, SUITE 4900
180 NORTH STETSON AVENUE
CHICAGO, IL 60601-6780



CONFIRMATION NO. 9995

FORMALITIES LETTER



OC000000013037924

LEYDIG, VOIT & MAYER, LTD.

CSO AJH JJM K LW LEC
MJT TMB JMC LJS MAG

Date Mailed: 06/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

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00000100 121216
07/27/2004 WASFAW1
01 FC:1051
130.00 DA

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
Attorney Docket No. 502609
Client Reference No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Wayne Shearin et al.

Art Unit: 1723

Application No. 10/821,343

Examiner: unknown

Filed: April 9, 2004

For: METHOD AND SYSTEM FOR MAKING
FILTERS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

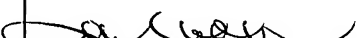
Dear Sir

Applicants hereby respond to the Notice to File Missing Parts of Application dated June 23, 2004.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8			
I hereby certify that this Response and all accompanying documents are, on the date indicated below, <input checked="" type="checkbox"/> being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or <input type="checkbox"/> being facsimile transmitted to the U.S. Patent and Trademark Office, Attention: Examiner , Art Unit , Facsimile Number .			
Name (Print/Type)	Joan Condon		
Signature		Date	July 20, 2004

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s)
b. ☐ Nucleotide and/or Amino Acid Sequence Submission:
i. ☐ Computer Readable Form (CRF)
ii. Specification Sequence Listing on:
(1) ☐ CD-ROM or CD-R (2 copies); or
(2) ☐ Paper Copy
iii. ☐ Statement verifying identity of above copies
c. ☐ Verified English translation of application
d. ☒ Copy of the Notice to File Missing Parts of Application
e. ☐ Substitute Drawings
f. ☐ Other:

3. Application Filing Fee

- a. ☒ No application filing fee due
b. ☐ Application filing fee due in amount calculated below:

APPLICATION FEES				
BASIC FEE				\$ 770.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	11 -20=	0	x \$18.00	\$ 0.00
Independent Claims	1 - 3=	0	x \$86.00	\$ 0.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable	+\$290.00			\$
Total of above calculations =				\$ 770.00
Reduction by 50% for filing by small entity =				\$()
Fee Already Paid =				\$(770.00)
Fee Due =				\$ 0.00

4. Late Filing of Oath or Declaration Surcharge

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

Fee Due \$130.00

5. Non-English Specification Surcharge

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

Fee Due \$ 0.00

6. Extension of Time

- ☐ Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00.
- ☒ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due \$0.00

7. Total Fee Due

The total fee due is:

Application Filing Fee	\$0.00
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$ 0.00

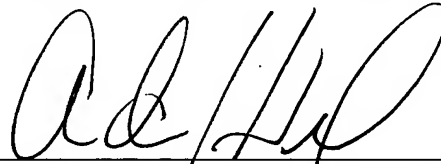
Total Fee Due: \$130.00

8. Fee Payment

- ☐ Attached is a check in the sum of \$.
- ☒ Charge Account No. 12-1216 the sum of \$130.00. A duplicate of this transmittal is attached.

9. Fee Deficiency

- ☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.



Andrew J. Hemisch, Reg. No. 43666
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Rockford, Illinois 61114-8018
(815) 963-7661 (telephone)
(815) 963-7664 (facsimile)

Date: July 20, 2004